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SEA WARRIOR PROGRAM OFFICE (PMW 240)
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FROM: PMW 240 Assistant Program Manager (APM) for Distance Support and Navy Information Application Product Suite (NIAPS)

TO: NIAPS Hosted Application Owners and Technical Project Leads

INFO: OPNAV N2/N6F11/F11B
Sea Warrior Program Office (PMW 240) Program Manager
Distance Support Information Assurance Manager (IAM)

SUBJECT: MEMORANDUM FOR APPLICATION SUPPORTABILITY AND SUSTAINABILITY ON THE NAVY INFORMATION APPLICATION PRODUCT SUITE (NIAPS)

REF:

- (a) DoD Instruction 5400.13, "Public Affairs Operations," Oct 15, 2008
- (b) DoD 5500.7-R, "Joint Ethics Regulation," Aug 1, 1993
- (c) DoD Directive 8500.01E, "Information Assurance (IA)," Oct 24, 2002
- (d) DoD Instruction 8500.2, "Information Assurance (IA) Implementation," Feb 6, 2003
- (e) DoD Directive 5400.11, "DoD Privacy Program," May 8, 2007
- (f) DoD Directive 5230.09, "Clearance of DoD Information for Public Release," Aug 22, 2008
- (g) DoD Manual 5205.02-M, "DoD Operations Security (OPSEC) Program Manual," Nov 3, 2008
- (h) DoD Directive 5015.2, "DoD Records Management Program," Mar 6, 2000
- (i) DoD 5200.1-R, "Information Security Program," Jan 14, 1997
- (j) DoD 5240.1-R, "Procedures Governing the Activities of DoD Intelligence Components That Affect United States Persons," Dec 1, 1982
- (k) DoD Instruction O-8530.2, "Support to Computer Network Defense (CND)," Mar 9, 2001
- (l) Unified Command Plan, "Unified Command Plan 2008 (UCP)," Dec 17, 2008
- (m) DoD Instruction 8552.01, Oct 23, 2006, Use of Mobile Code Technologies in DoD Information Systems

- (n) DTM 09-026 - Responsible and Effective Use of Internet-based Capabilities, Feb 25, 2010, Change 3, Jan 9, 2012
- (o) COMFLT/CYBERCOM/COMPACFLT/COMUSFLT/COM Instruction 5230.1, Fleet Functional Area Manager Program
- (p) OPNAV N2/N6 POM 12 guidance, Oct 22, 2009
- (q) CNO Distance Support Policy Memorandum, Mar 22, 2007

SUMMARY: The intent of this memorandum is to assure that each Application Owner understands the requirement to support their application through the entire System Development Life Cycle (SDLC). The intent is to clearly state responsibilities and overarching DoD regulations so that Program Managers will understand both the requirements and their responsibilities for operation with the Navy Information Application Product Suite (NIAPS).

1. PMW 240 is responsible to OPNAV N2/N6 for maintaining NIAPS. OPNAV N2/N6 has agreed to fund one NIAPS baseline minor release annually and one major release every three years. The scope of the N2/N6 approved NIAPS baseline is for the common development and deployment framework used by applications that join and are deployed via the framework. The cost of changes and minor point releases driven by specific Application Owner changes are expected to be funded by the Application Owner.
2. Application Owners shall support their application throughout the full System Development Life Cycle (SDLC), and shall disclose all supportability and sustainability requirements to the Distance Support Program Office.
3. Application Owners shall adhere to all DoD regulations cited in references (a) through (n).
4. Application Owners shall share in operational responsibilities with NIAPS for continued, secure operations in the Fleet. This responsibility is generic with respect to supporting administrative and technical functions to keep the application running as designed in the Fleet.
5. Application Owners are responsible for assuring that their application adheres to Industry Best Practices, DoD Security Technical Implementation Guides (STIGs), DoD password policies, PKI policies, INFOCONs, CTOs, FRAGOs, and other security and operational policies as directed. Applications will be updated as necessary to meet security requirements. Application Owners

are responsible for mitigating or resolving Information Assurance (IA) security control failures specific to their application in a timely manner (security control failures identified in the Application Security and Development Checklist, DoD STIGs failures, and Code Review failures, etc.).

6. Application Owners are responsible for assuring that all versions of their application are properly registered in the Department of Defense Information Technology Portfolio Repository-Department of the Navy (DITPR-DON) and the Department of the Navy Application and Database Management System (DADMS) and that the application descriptions that appear in DITPR-DON and DADMS reflect the correct version of NIAPS they are hosted on. Additionally, Application Owners are responsible for assuring that all versions of their application are properly registered in the Fleet Functional Area Manager's (FAM) Baseline Allowance Control (BAC) registry in accordance with reference (o).
7. In accordance with reference (e) and the Privacy Act of 1974, Application Owners are responsible for disclosing Privacy Act data existence to the Distance Support Program Office and appropriate DoD authorities. Registration, maintenance, reporting, and protection of Privacy Act data is primarily the responsibility of the application (data) owner, but also a responsibility to NIAPS since that is the hosting environment.
8. In accordance with reference (p) (OPNAV N2/N6 POM 12 guidance), 'Join' NIAPS fees (for new applications) and NIAPS Application 'Sustainment' fees (for all applications) will be assessed to all Application Owners via a documented Support Agreement to take affect beginning in FY13. Reimbursable funding will be provided to PMW 240 from Application Owners until Application Owners can coordinate with their respective Resource Sponsor to program these fees to NIAPS.
 - a. It is understood that this requirement was not correctly communicated to application owners in a timely manner to take affect starting in FY12 to incorporate into Application Owner's spend plans. Therefore these business rules will not be implemented until FY13 except for those applications joining NIAPS in 2012.
 - b. These future fees are required in accordance with reference (p) as OPNAV N2/N6 only resources NIAPS to support infrastructure and framework in accordance with reference (q).

- c. There are 'Join' and 'Sustainment' fees associated with applications using NIAPS. A 'Join' fee is charged to application owners who have never previously had their application hosted on a NIAPS. There are also 'Sustainment' fees that are applied to application owners starting the year after they join.
- d. The 'Join' fee covers the logistics, technical and training assessment efforts that all new products must go through before being approved for inclusion on a future NIAPS release. The 'Join' fee is only collected once a product has passed all the assessments that are needed and has been approved for inclusion on a NIAPS release.
- e. Once an application has been approved for inclusion in a NIAPS release, there is an annual 'Sustainment' fee beginning in FY13 and will continue to be collected annually for the life of the application on NIAPS. There are two main factors that will be considered when determining the 'Sustainment' fee. Those factors are:
- The "**TYPE**" of application that will be using NIAPS. This factor is applicable because there are varying degrees of programmatic effort associated with the different types of applications that can use NIAPS. The types of applications that use NIAPS include:
 - Client side executables
 - Content only products
 - Applications that use NIAPS as a data transfer agent only (a.k.a. "Data Pass-Through" products)
 - Server side executables
 - Web based products
 - The "**SERVICES**" NIAPS will need to provide to support the application. This factor is applicable because there are certain process related costs that will always be born with sustaining an application on NIAPS and customized costs that are based on NIAPS services the customer chooses to make their application work on NIAPS. The following services may apply:
 - Shore-to-ship replication services *
 - Ship-to-shore replication services *
 - NIAPS Fleet Help Desk assistance **
 - Assistance in application IT certification and testing requirements (including but not limited to application specific DADMS. Fleet Functional Area Manager (FAM) Baseline Allowance Control (BAC)

requirement fulfillment and operational testing specific to an application **

- o Content hosting ** (fee charged for fair share storage)
- o Post product deployment monitoring and support **
- o Shipboard or school house training **

* Indicates a customer chosen service

** Indicates a service that is part of the annual sustainment of the product

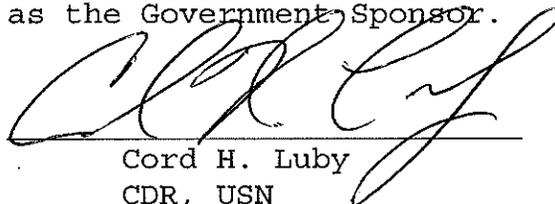
f. This memorandum does not commit you to funding. A separate Support Agreement with funding requirements specific to your application based on "TYPE" and "SERVICES" provided will be provided to you SEPCOR to be implemented starting in FY13.

g. The understanding of this Supportability and Sustainability memorandum is necessary before an application can be fielded on NIAPS. Non-concurrence could result in the rejection of an application from being considered for inclusion on NIAPS (applicable to candidates looking to use NIAPS for the first time) or the removal of an application from NIAPS (if the application was previously approved for inclusion).

9. NIAPS is required to maintain a robust information system security profile at all times. Should an application or technology be deemed high risk, the NIAPS Team has a responsibility to disable or remove the non-compliant application or technology until such time as the application can be authorized at a lower risk level.

This memorandum provides a set of roles and responsibilities for adherence.

**NOTE: The Program Manager role referenced throughout this MOU refers to the person who is in charge of the daily tactical decisions and budget recommendations. This person is also responsible for planning and execution of Information Assurance activities. Many activities refer to this role as Program Manager, some refer to this role as Program Director, and others refer to this role as the Government Sponsor.



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