



DIVE
OPERATIONAL RISK MANAGEMENT
(ORM)
Checklist

UPDATED November 2015

SAFETY REVIEW ITEMS - OPERATIONAL RISK MANAGEMENT

1. (ORM01) Has the Commanding Officer designated the Executive Officer or equivalent in writing as the ORM manager?

REF: OPNAVINST 3500.39 Series

C R NA UA

Repeat

Significant

PMS

2. (ORM02) Has the command designated in writing at least one officer and one senior enlisted person as ORM assistants? Have the assistants been formally trained? Provide certificate.

REF: OPNAVINST 3500.39 Series

C R NA UA

Repeat

Significant

PMS

3. (ORM03) Is OPNAVINST 3500.39(series) on hand or readily available?

REF: OPNAVINST 3500.39 Series

C R NA UA

Repeat

Significant

PMS

4. (ORM04) Have ORM assistants conducted required command annual training?

REF: OPNAVINST 3500.39 Series

C R NA UA

Repeat

Significant

PMS

5. (ORM05) Is ORM included in command indoc/orientation training?

REF: OPNAVINST 3500.39 Series

OPNAVINST 1740.3 Series

C R NA UA

Repeat

Significant

PMS

6. (ORM06) Does the command have a way of documenting ORM training in members training records? (paper or electronic)

REF: OPNAVINST 3500.39 Series

C R NA UA

Repeat

Significant

PMS

7. (ORM07) Has command conducted thorough risk assessment for all command operations, task and activities including new or complex evolutions, define acceptable risk and contingencies for evolutions?

REF: OPNAVINST 3500.39 Series

C R NA UA

Repeat

Significant

PMS

8. (ORM08) Does ORM manager collect lessons learned and best practices and forward to the ORM model manager for dissemination annually?

REF: OPNAVINST 3500.39 Series

C R NA UA

Repeat

Significant

PMS

9. (ORM09) Does command maintain a log of ORM program and evolution evaluations.

REF: OPNAVINST 3500.39 Series

C R NA UA

Repeat

Significant

PMS

OPERATIONAL RISK MANAGEMENT

COMMAND NAME:

LOCATION:

UIC:

DATE:

SURVEYOR(S):

NO. COMPLETE:

NO. REQ ACTION:

NOT APPLICABLE:

Q #	Question	Result				Sig	Rep	PMS
1	ORMORM01	C	R	N	U			
2	ORMORM02	C	R	N	U			
3	ORMORM03	C	R	N	U			
4	ORMORM04	C	R	N	U			
5	ORMORM05	C	R	N	U			
6	ORMORM06	C	R	N	U			
7	ORMORM07	C	R	N	U			
8	ORMORM08	C	R	N	U			
9	ORMORM09	C	R	N	U			