

**MARITIME HOMELAND SECURITY SUMMIT  
AS PREPARED BY ADM JOHN C HARVEY, JR  
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GOOD MORNING. IT'S A PRIVILEGE TO BE HERE WITH YOU TODAY AND HAVE THE OPPORTUNITY TO ADDRESS A SUBJECT THAT IS CRITICALLY IMPORTANT TO OUR NATION.

MARITIME HOMELAND DEFENSE AND SECURITY DOESN'T ALWAYS GET THE MEDIA ATTENTION IT DESERVES, IN FACT IT HARDLY GETS ANY MEDIA ATTENTION AT ALL, BUT WHAT HAPPENS IN THE MARITIME ENVIRONMENT IN INTERNATIONAL WATERS AND IN THE APPROACHES TO THE US COAST-LINE IS CRITICALLY IMPORTANT TO OUR NATION'S SECURITY AND PROSPERITY - IT'S IMPORTANT FOR OUR NATION'S ECONOMIC SECURITY AND IT'S IMPORTANT FOR OUR NATIONAL DEFENSE.

BUT BEFORE I ADDRESS WHAT OUR NAVY IS DOING TODAY, IT IS IMPORTANT TO UNDERSTAND - THE “WHY.” WHY DOES OUR NATION SPEND HUNDREDS OF BILLIONS OF DOLLARS ON ITS MARITIME SERVICES, ESPECIALLY IN THE MIDST OF THESE VERY CHALLENGING ECONOMIC TIMES?

WHY DO WE HAVE A NAVY THAT IS ROUTINELY FORWARD DEPLOYED AROUND THE GLOBE, AND WHAT DOES THAT HAVE TO DO WITH HOMELAND DEFENSE? FOR MANY OF YOU HERE TODAY, THE ANSWER IS PROBABLY OBVIOUS, BECAUSE YOUR PROFESSION OR PERSONAL INTEREST IN THIS SUBJECT IS WHAT BROUGHT YOU HERE TODAY. FOR OTHERS, THE ANSWER MAY BE LESS CLEAR AND I WOULD LIKE TO GIVE YOU MY PERSPECTIVE TO CLARIFY THE REASON ARTICLE 1, SECTION 8 OF OUR CONSTITUTION EMPOWERS CONGRESS TO “PROVIDE AND MAINTAIN A NAVY.”

FOR THOSE WHO DON'T KNOW, AND I WON'T BLAME THOSE OF YOU WHO DON'T, I AM A VERY PROUD BALTIMORE NATIVE. BECAUSE I GREW UP HERE IN BALTIMORE, IT WAS IMPRESSED UPON ME AT A VERY EARLY AGE THAT WE ARE A MARITIME NATION. I REMEMBER FOLLOWING THE DAILY ARRIVALS AND DEPARTURES OF MERCHANT SHIPS WITH THEIR FOREIGN DESTINATIONS AND CARGO AS REPORTED BY THE BALTIMORE SUN.

ALTHOUGH THE INNER HARBOR CEASED OPERATING AS AN ACTIVE SEAPORT ALMOST 60 YEARS AGO, IT HAS REMAINED A TESTAMENT TO OUR MARITIME HERITAGE – WITH THE USS CONSTELLATION, USS TORSK, USS TANEY, AND THE LIGHTSHIP CHESAPEAKE AMONG OTHERS AS VIVID REMINDERS OF THAT HERITAGE.

BUT THE MAJORITY OF AMERICAN CITIZENS DID NOT GROW UP IN BALTIMORE, OR SAN DIEGO, OR NORFOLK – AND THEY DO NOT SEE THE DAILY REMINDERS THAT WE STILL ARE A MARITIME NATION. SINCE THE END OF THE COLD WAR, I BELIEVE MANY OF OUR CITIZENS HAVE TAKEN OUR MARITIME SERVICES FOR GRANTED – WE ARE NO LONGER A “SEA CONSCIOUS” NATION – EVEN THOUGH WE LIVE IN A GLOBAL ECONOMY WHERE 90% OF ALL COMMERCE IS STILL TRANSPORTED BY SHIP AND THIS MOVEMENT OF GOODS AND RAW MATERIALS TOTALLY DEPENDS ON THAT MOST FUNDAMENTAL OF CONCEPTS, THE FOUNDATION OF OUR PROSPERITY SINCE WE DECLARED OURSELVES TO BE A FREE AND INDEPENDENT NATION, FREEDOM OF THE SEAS.

THAT IS WHY THE UNITED STATES NAVY, EACH AND EVERY DAY, IS PROTECTING OUR ECONOMY WHICH LITERALLY FLOATS UPON THE SEAS. BUT OUR HOMELAND DEFENSE MISSION IS FAR GREATER AND MORE COMPLEX THAN ENSURING OUR ECONOMIC SECURITY.

OUR COOPERATIVE MARITIME STRATEGY PUTS IT SUCCINCTLY – “MARITIME FORCES WILL DEFEND THE HOMELAND BY IDENTIFYING AND NEUTRALIZING THREATS AS FAR FROM OUR SHORES AS POSSIBLE. FROM FOSTERING CRITICAL RELATIONSHIPS OVERSEAS, TO SCREENING SHIPS BOUND FOR OUR PORTS, TO RAPIDLY RESPONDING TO ANY THREATS.” AND THAT IS EXACTLY WHAT OUR MARITIME SERVICES ARE DOING EACH AND EVERY DAY.

ALTHOUGH OUR NAVY AND COAST GUARD TEAM HAS BEEN PROVIDING HOMELAND DEFENSE AND SECURITY SINCE THERE HAS BEEN A NAVY AND COAST GUARD, WE LEARNED MANY LESSONS AFTER THE ATTACKS ON 9-11 WHICH FUNDAMENTALLY CHANGED HOW WE ARE STRUCTURED, HOW WE TRAIN, AND HOW WE OPERATE.

WE LEARNED THAT THE NATURE OF THE THREAT TO OUR NATIONAL SECURITY HAD FUNDAMENTALLY CHANGED AND WE WERE VERY VULNERABLE. WE LEARNED THAT WE WERE FACING A NEW TYPE OF ENEMY AND THE 90% OF OUR NATION'S ECONOMY REPRESENTED BY THE THOUSANDS OF CONTAINERS THAT ARRIVE EACH DAY IN OUR NATION'S PORTS ALSO CARRY THE POTENTIAL FOR SIGNIFICANT THREATS TO OUR SECURITY SUCH AS CHEMICAL, BIOLOGICAL, OR RADIOLOGICAL WEAPONS.

WE LEARNED THAT, BECAUSE WE ARE A MARITIME NATION, OUR SHORES ARE EASY ENTRY POINTS NOT JUST FOR DRUG TRAFFICKERS, BUT POTENTIALLY FOR TERRORIST GROUPS AS WELL. WE LEARNED THAT OUR SHIPS WERE VULNERABLE AND TEMPTING TARGETS WITH MINIMAL PROTECTION WHILE IN PORT.

AND FINALLY, WE LEARNED THAT THE DEFENSE OF OUR HOMELAND REQUIRES A MILITARY COMMANDER WITH THE REQUIRED AUTHORITIES AND RESPONSIBILITIES TO OPERATIONALLY CONTROL FORCES IN AND AROUND THE UNITED STATES AND ACT AS THE PRIMARY DEFENSE COMMANDER SUPPORTING THE CIVIL AUTHORITIES DURING A TIME OF ATTACK OR DISASTER.

BASED UPON WHAT WE'VE LEARNED, WE HAVE TAKEN  
SIGNIFICANT STEPS OVER THE PAST TEN YEARS TO  
IMPROVE OUR MARITIME HOMELAND DEFENSE AND  
SECURITY.

FOR EXAMPLE, WE ESTABLISHED U.S. NORTHERN  
COMMAND TO FILL THAT OPERATIONAL VOID AND ENSURE  
MILITARY OPERATIONS IN SUPPORT OF HOMELAND  
DEFENSE HAVE A UNIFIED COMMANDER AND MILITARY  
OPERATIONS ARE SYNCHRONIZED WITH THOSE OF CIVIL  
AUTHORITIES.

BEFORE THE ESTABLISHMENT OF NORTHCOM, EXISTING  
HOMELAND DEFENSE AND CIVIL SUPPORT MISSIONS WERE  
PREVIOUSLY EXECUTED BY MULTIPLE MILITARY  
ORGANIZATIONS INCLUDING NORAD FOR THE AIR DOMAIN  
AND TWO COMBATANT COMMANDERS – COMMANDER,  
PACIFIC COMMAND ON THE WEST AND COMMANDER, JOINT  
FORCES COMMAND ON THE EAST IN THE MARITIME DOMAIN  
– WITH THE DEFENSE OF THE HOMELAND AS PRIMARILY AN  
AIR AND SPACE MISSION WITH VERY LITTLE CONCEPT THAT  
THE DEPARTMENT OF DEFENSE WOULD HAVE TO EXECUTE  
OPERATIONS WITHIN CONUS OR CLOSE INSHORE.

THE ESTABLISHMENT OF NORTHCOM, CREATED A SINGLE UNIFIED COMMANDER WITH CLEAR LINES OF AUTHORITY AND RESPONSIBILITY FOR OUR MARITIME HOMELAND DEFENSE MISSION AND SUPPORT TO THE COAST GUARD'S MARITIME HOMELAND SECURITY MISSION. I NOW HAVE FORMAL LINES OF AUTHORITY AS NORTHCOM'S JOINT FORCES MARITIME COMPONENT COMMANDER TO MY COAST GUARD COUNTERPARTS IN THEIR TITLE 10 DEFENSE ROLES.

I EXERCISE OPERATIONAL CONTROL OF U.S. COAST GUARD DEFENSE FORCE EAST AND WEST FOR MARITIME HOMELAND DEFENSE AND FOR THEIR MARITIME HOMELAND SECURITY MISSIONS, I SUPPORT THEM AS THEY EXECUTE THEIR TITLE 14 RESPONSIBILITIES.

ANOTHER AREA THAT HAS CHANGED OVER THE PAST TEN YEARS IS ANTI-TERRORISM AND FORCE PROTECTION. SINCE THE ATTACK ON THE USS COLE AND THE SEPTEMBER 11<sup>TH</sup> ATTACKS, WE HAVE FUNDAMENTALLY CHANGED HOW WE EXECUTE OUR ATFP MISSION WE HAVE GREATLY IMPROVED OUR FORCE PROTECTION POLICIES AND PROCEDURES.

I AM RESPONSIBLE TO NORTHCOM FOR THE PROTECTION OF ALL MARITIME FORCES IN CONUS. WE HAVE MADE GREAT STRIDES IN THIS AREA AND CONTINUE TO LEARN AND ADAPT THROUGH OUR ANNUAL EXERCISES SUCH AS SOLID CURTAIN AND CITADEL SHIELD. THERE IS NO LONGER A SECURITY SEAM BETWEEN SEA AND SHORE WHEN IT COMES TO THE PROTECTION OF OUR UNITS – IT ALL FALLS UNDER MY AUTHORITIES.

AS SUCH, ATRF HAS BEEN A PRIMARY FOCUS OF MINE SINCE I TOOK COMMAND OF USFF – MY FIRST SERIAL GUIDANCE TO MY COMMANDERS EMPHASIZED THE FUNDAMENTAL FACT THAT, ALTHOUGH WE MAY NOT ALWAYS BE THINKING ABOUT OUR VULNERABILITIES, OUR ENEMIES ARE AND WE MUST ALWAYS BE VIGILANT IN THE DEFENSE OF OUR UNITS WHETHER OVERSEAS OR IN OUR HOMEPORTS.

BUT WE AREN'T PERFECT (AND WE WILL NEVER BE) SO WE ARE STILL IMPROVING AREAS THAT NEED ATTENTION INCLUDING OUR NAVY'S HOMELAND DEFENSE MISSION BECAUSE IT IS A DIFFICULT AND DIVERSE ONE; IT IS A MISSION THAT REQUIRES COORDINATION WITH EACH OF THE MILITARY SERVICES, INTELLIGENCE AGENCIES, AND CIVIL AUTHORITIES.

FOR EXAMPLE, THE COMMAND AND CONTROL RELATIONSHIPS MAKE IT BY FAR, THE MOST COMPLEX OF MY ASSIGNED MISSIONS. THAT IS WHY, FOR THE REMAINDER OF MY REMARKS TODAY, I WILL ADDRESS SOME OF THE SPECIFIC EFFORTS I AM INVOLVED IN TO IMPROVE OUR COMMAND AND CONTROL RELATIONSHIPS AND SPECIFIC EXAMPLES OF WHERE OUR NAVY AND COAST GUARD ARE WORKING TOGETHER TO DEFEND OUR HOMELAND.

COMMAND AND CONTROL IS SOMETHING THAT IS  
CRITICALLY IMPORTANT, YET OFTEN ASSUMED OR  
OVERLOOKED UNTIL IT BECOMES AN ISSUE.

IN THE DAY AND AGE WHERE INDUSTRY HAS ESTABLISHED  
MATRIX'ED ORGANIZATIONS, THE MILITARY HAS LEARNED  
THROUGH HUNDREDS OF YEARS OF OPERATING AROUND  
THE GLOBE, THAT CLEAR COMMAND AND CONTROL IS  
ESSENTIAL TO SAFE, EFFECTIVE OPERATIONS.

MAINTAINING CLEAR, HIERARCHICAL AND UNAMBIGUOUS  
LINES OF AUTHORITY AND RESPONSIBILITY IS FIRMLY  
ESTABLISHED IN OUR DOCTRINE AND WE HAVE PAID THE  
PRICE EACH TIME WE STRAYED FROM THESE  
FUNDAMENTALS.

BUT AS I SAID BEFORE, MY MARITIME HOMELAND DEFENSE MISSION IS MORE COMPLEX. IN SUPPORT OF ADMIRAL WINNEFELD, AS HIS JOINT FORCE MARITIME COMPONENT COMMANDER, I HAVE READY ALERT FORCES ON BOTH COASTS PRE-DESIGNATED TO RESPOND TO A NATURAL DISASTER OR A THREAT TO OUR HOMELAND. I DO NOT HAVE THE SAME COMMAND AND CONTROL RELATIONSHIP WITH NORAD.

THIS IS A GAP THAT ADMIRAL WINNEFELD HAS RECOGNIZED AND HE IS CURRENTLY WORKING TO ESTABLISH CLEAR AND CONSISTENT COMMAND AND CONTROL RELATIONSHIPS FOR OPERATIONAL FORCES EMPLOYED IN DIRECT SUPPORT OF NORAD. THIS MAY SEEM LIKE A VERY MINOR ISSUE, BUT IT HAS SIGNIFICANT REPERCUSSIONS AT THE OPERATIONAL LEVEL.

BUT, EVEN WITH PERFECT PROCESSES, PLATFORMS, AND  
COMMAND AND CONTROL; IT WOULDN'T WORK WITHOUT  
THE EXTRAORDINARY RELATIONSHIP SHARED BETWEEN  
THE NAVY AND THE UNITED STATES COAST GUARD. I AM  
PROUD TO SAY THAT A MONTH DOESN'T GO BY WHERE  
THERE ISN'T AN EXAMPLE OF WHERE OUR TWO SERVICES  
ARE WORKING TOGETHER TO EXECUTE OUR MISSIONS. THE  
COAST GUARD FEDERAL LAW ENFORCEMENT TITLE 14  
AUTHORITIES BRING ESPECIALLY VALUED TOOLS TO OUR  
SHARED MISSIONS.

EVERY DAY WE PARTNER TO PROTECT OUR VITAL  
MILITARY SHIPMENTS OF AMMUNITION AND EQUIPMENT  
RETURNING FROM OR DEPARTING FOR OVERSEAS COMBAT-  
ZONES. WE ALSO ROUTINELY WORK TOGETHER TO  
ESTABLISH AND ENFORCE SECURITY ZONES FOR THE  
PROTECTION OF OUR HIGH-VALUE NAVY UNITS SUCH AS  
AIRCRAFT CARRIERS WHILE IN PORT AND WHILE IN  
TRANSIT TO AND FROM THE HIGH-SEAS. WE CONDUCT  
ANNUAL EXERCISES AT HOME TOGETHER SUCH AS  
FRONTIER SENTINEL WHERE WE EXECUTE LIVE EXERCISES  
TO TEST AND EVALUATE OUR DEFENSE AND SECURITY  
CAPABILITIES.

BUT OUR PARTNERSHIP WITH THE COAST GUARD IS NOT RESTRICTED TO THE HOME WATERS – LIKE THE NAVY, THE COAST GUARD’S CONTRIBUTION TO THE DEFENSE OF OUR HOMELAND BEGINS OVERSEAS. THE COAST GUARD’S LAW ENFORCEMENT EXPERTISE IS RELIED UPON HEAVILY BY THE NAVY TO HELP SECURE VITAL INFRASTRUCTURE LIKE THE GAS AND OIL PLATFORMS IN THE ARABIAN GULF AND TO HELP TRAIN FOREIGN MARITIME FORCES SUCH AS AFRICA PARTNERSHIP STATION.

COAST GUARD LAW ENFORCEMENT DETACHMENTS (LEDETS) OPERATE FROM OUR NAVY SHIPS IN THE CARIBBEAN, EASTERN PACIFIC AND OFF THE HORN OF AFRICA ENFORCING BOTH LOCAL COUNTRY AND INTERNATIONAL LAWS FOR FISHERIES, COUNTER DRUG, AND PIRACY; FOR EXAMPLE, LAST SUMMER (2010) CUTTER MOHAWK HAD RETURNED FROM A THREE MONTH PATROL OFF THE WEST COAST OF AFRICA WORKING FOR OUR NAVY'S SIXTH FLEET EXECUTING OUR THIRD YEAR OF AFRICAN MARITIME LAW ENFORCEMENT PARTNERSHIP (AMLEP) MISSION. MOHAWK CONDUCTED JOINT BOARDINGS AND RIGHT OF APPROACH QUESTIONS WITH OUR PARTNER NATIONS' SHIP-RIDERS FROM SIERRA LEONE, SENEGAL, AND LIBERIA.

IN THE ARABIAN SEA, THERE ARE COAST GUARD LAW ENFORCEMENT DETACHMENTS (LEDETS) EMBARKED ON OUR NAVY'S SHIPS TO DETER PIRATES. AS WITH OUR FELLOW SAILORS OPERATING IN THESE PIRATE INFESTED WATERS, WE HAVE HAD SOME SUCCESSES. FOR EXAMPLE, OFF THE SOUTHERN COAST OF THE ARABIAN PENINSULA ON THE 8<sup>TH</sup> OF SEPTEMBER LAST YEAR, THE MOTOR VESSEL MAGELLAN STAR, REGISTERED IN ANTIGUA/BARBUDA, WAS HIJACKED BY SOMALI PIRATES.

WITH HER FLAG NATION'S PERMISSION, THE MAGELLAN STAR WAS RETAKEN ON THE FOLLOWING DAY BY A MARINE CORPS TEAM OFF ONE OF OUR NAVY SHIPS WITH A COAST GUARD LEDET – A TRUE MARITIME PARTNERSHIP!

YOU MAY REMEMBER THAT USS RONALD REAGAN AND THE CUTTER MORGENTHAU RESPONDED TO THE DISABLED CRUISE SHIP CARNIVAL SPLENDOR LAST NOVEMBER 180 NAUTICAL MILES SOUTH OF SAN DIEGO, CA.

THE CRUISE SHIP EMBARKED 18 SAILORS AND COAST GUARDSMEN (9 USN & 9 USCG) WHO MADE UP THE TEAM THAT COORDINATED THE SAFETY ASSESSMENT AND THE DELIVERY OF 60 PALLETS OF SUPPLIES VIA HELICOPTER.

WHILE UNDERWAY FOR TRAINING, THE REAGAN WAS ABLE TO STOP TRAINING AND IMMEDIATELY SHIFT TO RESPONDING TO THIS EMERGENCY. THE DISABLED CRUISE SHIP WAS EVENTUALLY TOWED BACK TO PORT BY COMMERCIAL TUGS AND THE SAILORS EMBARKED THE CUTTER MORGENTHAU WHO RETURNED THEM TO THE REAGAN.

THESE ARE BUT A FEW EXAMPLES OF HOW OUR TWO SERVICES TRAIN TOGETHER, DEPLOY TOGETHER, AND OPERATE TOGETHER AND HOW WE ARE CONTINUING TO EXPAND AND STRENGTHEN OUR ALREADY STRONG PARTNERSHIP.

NOW, ALTHOUGH ONLY A FEW OF YOU MAY HAVE BEEN AWARE OF MY UPBRINGING IN BALTIMORE, I AM SURE EACH OF YOU IS WELL AWARE OF OUR CURRENT ECONOMIC SITUATION AND THE SUBSEQUENT BUDGET CHALLENGES FOR THE DEPARTMENT OF DEFENSE AND DEPARTMENT OF HOMELAND SECURITY.

I JUST SPOKE ABOUT TWO TOPICS – ESTABLISHING CLEAR AND UNAMBIGUOUS LINES OF AUTHORITY AND RESPONSIBILITY, AND OUR STRONG PARTNERSHIP WITH THE COAST GUARD – BOTH ARE ENDURING AND IMMUTABLE REGARDLESS OF OUR FISCAL ENVIRONMENT. THAT SAID, I WOULD BE REMISS IF I DIDN'T AT LEAST ADDRESS THE CURRENT AND FUTURE FISCAL ENVIRONMENT AND WHAT IT MEANS TO ALL OF US.

FIRST, THE THREAT AND OPERATIONAL DEMAND FOR OUR FORCES IS ONLY GOING TO INCREASE OVER THE COMING YEARS. I DON'T SEE A PERIOD WHERE WE WILL BE ABLE TO TAKE A TRAINING TIME-OUT, OR PERIOD WHERE WE CAN RECONSTITUTE OUR FORCE.

AT THE SAME TIME, OUR BUDGETS WILL GO DOWN – THE BUDGET GROWTH WE HAVE EXPERIENCED OVER THE PAST TEN YEARS IS SIMPLY UNSUSTAINABLE, ESPECIALLY WITH OUR CURRENT ECONOMIC SITUATION.

OVER THE PAST TWO DAYS, YOU HAVE HEARD ABOUT NEW EQUIPMENT AND TECHNOLOGY, INCREASED OPPORTUNITIES TO BUILD FOREIGN PARTNERSHIPS AND IDEAS ON HOW TO TAKE ON MORE MARITIME MISSIONS. THESE ARE VERY GOOD IDEAS, BUT THIS IS NOT THE FUTURE I WOULD PLAN TO. I PERSONALLY BELIEVE THAT WE WILL STRUGGLE TO HOLD ON TO WHAT WE HAVE TODAY AND MY FOCUS, AND YOUR FOCUS, WHETHER YOU ARE MILITARY, CIVILIAN, OR FROM INDUSTRY SHOULD BE ON SUSTAINING WHAT WE HAVE INTO THE FUTURE.

WE MUST FOCUS ON OUR CORE COMPETENCIES AND ENSURE WE REMAIN EXCELLENT IN THOSE AREAS, BECAUSE THAT IS WHAT WE WILL BE ALWAYS CALLED UPON TO EXECUTE BY OUR CITIZENS. I AM NOT TRYING TO BRING DOOM AND GLOOM, BUT THE DAYS OF PLENTY ARE MOST CERTAINLY OVER AND THE SOONER WE ALL ACCEPT THAT FUNDAMENTAL TRUTH, AND THE SOONER WE CAN ALL ADAPT, OUR PATH FORWARD BECOMES MUCH CLEARER.

EVENTS SUCH AS THESE ARE A RARE OPPORTUNITY WHERE YOU HAVE SERVICE MEMBERS FROM THE NAVY AND THE COAST GUARD, AS WELL AS MEMBERS OF LAW ENFORCEMENT, INDIVIDUAL GOVERNMENT AGENCIES, STATE AND LOCAL GOVERNMENT, AND OUR INDUSTRY PARTNERS. IT IS A TIME TO LEARN, BUT IT IS ALSO AN EXTRAORDINARY OPPORTUNITY TO COME TOGETHER AND TAKE ON THE REALLY HARD CHALLENGES WE FACE IN THE FUTURE. YOU ARE THE ONES WHO HAVE THE KNOWLEDGE, THE SKILL, AND THE ABILITY TO HELP US PREPARE FOR THAT FUTURE AND KEEP US ON THE RIGHT TRACK. THANK YOU.